

1430 U.S. PTO  
09/10/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5649-1123IP

DATE: September 10, 2003

UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

PATENT

PTO  
10/659945  
09/10/03

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

☒ a patent application

☐ a Continuation ☐ a Divisional ☒ a Continuation-in-Part (CIP)

☒ and claims priority to Korean Patent Application No. 2002-54606, filed on September 10, 2002, Korean Patent Application No. 2003-61702 filed on September 4, 2003, filed on August 28, 2003

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Entitled: **POST THERMAL TREATMENT METHODS OF FORMING HIGH DIELECTRIC  
LAYERS OVER INTERFACIAL LAYERS IN INTEGRATED CIRCUIT DEVICES**

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Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ **26** pages of Specification (including **45** claims)
3. ☒ **11** sheets of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*)) [Note Box 6 Below]
  - c. ☐ **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Microfiche Computer Program (*Appendix*)
8. ☐ Assignment papers (*cover sheet(s) and document(s)*)
9. ☐ Applicant claims small entity status. See 37 CFR 1.27.
10. ☐ Information Disclosure Statement, PTO-1449, and \_\_\_\_ references cited
11. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
12. ☐ English Translation Document
13. ☐ Certified Copy of \_\_\_\_ Application No. \_\_\_\_ ; Filed
14. ☐ Sequence Listing/ Sequence Listing Diskette
  - a. ☐ computer readable copy
  - b. ☐ paper copy
  - c. ☐ statement in support
15. ☐ An Associate Power of Attorney
16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
17. ☐ Other:

DATE: September 10, 2003

The fee has been calculated as shown below:

|  | Column 1<br>No. Filed | Column 2<br>No. Extra | Small Entity<br>Rate      Fee | Large Entity<br>Rate      Fee |
|--|-----------------------|-----------------------|-------------------------------|-------------------------------|
| BASIC FEE  |                       |                       | \$375.00                      | \$ 750.00                     |
| TOTAL CLAIMS   | 45 - 20 =             | 25                    | x 09 = \$0.00                 | x 18 = \$ 450.00              |
| INDEP CLAIMS   | 5 - 3 =               | 2                     | x 42 = \$0.00                 | x 84 = \$ 168.00              |
| <input type="checkbox"/> MULTIPLE Dependent Claims Presented       |                       |                       | + 140 = \$                    | + 280 = \$                    |
| If the difference in Col. 1 is less than zero, Enter "0" in Col. 2 |                       |                       | Total \$ 0.00                 | Total \$1368.00               |

- ☒ A check in the amount of \$1368.00 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$0.00 is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).
- ☐ Please charge my Deposit Account No. 50-0220 in the amount of \$\_\_\_\_\_ for the filing fee, PLUS the Assignment Recordation fee (\$40.00).
- ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:
- a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
- b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,


Devin R. Jensen  
Registration No. 44,805

## CERTIFICATE OF EXPRESS MAILING

"Express Mail" mailing label number: EV 193633411 US

Date of Deposit: September 10, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
 Sloan Smith